# Chapter 4

## Linear Forms

### 4.1 Definition and examples

Let V be a vector space over  $\mathbb{F}$ . Often, we want to assign vectors numerical values (think of measurements). In the context of a vector space over a field  $\mathbb{F}$ , the "number" we associate with each vector is a scalar; in other words, a "measurement" of vectors is a function  $V \to \mathbb{F}$ . However, a vector space is not just any old set of points; this set is endowed with an algebraic structure, and therefore, we may be interested in functions on V that "communicate" with this algebraic structure. This leads us to the following definition:

 $m{Definition 4.1 \ Let \ V \ be \ a \ vector \ space \ over \ \mathbb{F}.} \ A \ m{linear \ form}$  (לינאריח or a \ \mathbf{linear \ functional} (לינאריח) \ over \ V \ is \ a \ function \ \ell \ \ \mathre{\mathrew{F}} \ (i.e., \ a \ scalar-valued \ function \ with \ domain \ V \) satisfying the following conditions: for every \ \mathbf{u}, \mathbf{v} \in V,

$$\ell(\mathbf{u} + \mathbf{v}) = \ell(\mathbf{u}) + \ell(\mathbf{v}),$$

and for every  $\mathbf{v} \in V$  and  $a \in \mathbb{F}$ ,

$$\ell(a\mathbf{v}) = a\,\ell(\mathbf{v}).$$

In other words, a linear form on a vector space is a scalar-valued function over that space that "respects" linear operations. Note (once again) the distinction between operations in V and operations in  $\mathbb{F}$ .

**Example**: The function  $\ell: V \to \mathbb{F}$  assigning to every vector  $\mathbf{v} \in V$  the value  $\ell(\mathbf{v}) = 0_{\mathbb{F}}$  is a linear form. Why? because for every  $\mathbf{u}, \mathbf{v} \in V$  and  $a \in \mathbb{F}$ ,

$$\ell(\mathbf{u} + \mathbf{v}) = 0_{\mathbb{F}} = 0_{\mathbb{F}} + 0_{\mathbb{F}} = \ell(\mathbf{u}) + \ell(\mathbf{v}),$$

and

$$\ell(a\mathbf{v}) = 0_{\mathbb{F}} = a\,\ell(\mathbf{v}).$$

This linear form is called the **zero form** (תבנית האפס).

**Example:** Let V be an n-dimensional vector space and let

$$\mathfrak{B} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$$

be an ordered basis. For every i = 1, ..., n, we denote by  $\ell^i : V \to \mathbb{F}$  the function returning the *i*-th coordinate of a vector relative to the basis  $\mathfrak{B}$ . That is,

$$\ell^i(\mathbf{v}) = ([\mathbf{v}]_{\mathfrak{B}})^i$$
.

More explicitly, if

$$\mathbf{v} = \begin{pmatrix} \mathbf{v}_1 & \dots & \mathbf{v}_n \end{pmatrix} \begin{bmatrix} a^1 \\ \vdots \\ a^n \end{bmatrix},$$

then  $\ell^i(\mathbf{v}) = a^i$ . Why is this a linear form? Because for every  $\mathbf{u}, \mathbf{v} \in V$ ,

$$\ell^{i}(\mathbf{u}+\mathbf{v}) = ([\mathbf{u}+\mathbf{v}]_{\mathfrak{B}})^{i} = ([\mathbf{u}]_{\mathfrak{B}} + [\mathbf{v}]_{\mathfrak{B}})^{i} = ([\mathbf{u}]_{\mathfrak{B}})^{i} + ([\mathbf{v}]_{\mathfrak{B}})^{i} = \ell^{i}(\mathbf{u}) + \ell^{i}(\mathbf{v}),$$

where we used here Proposition 3.46. Note the different types of addition: in the first two terms it is addition in V, in the third term it is addition in  $\mathbb{F}_{col}^n$ , and in the last two terms it is addition in  $\mathbb{F}$ .

Likewise, using once again Proposition 3.46, for  $\mathbf{u} \in V$  and  $c \in \mathbb{F}$ ,

$$\ell^i(c\,\mathbf{u})=([c\,\mathbf{u}]_{\mathfrak{B}})^i=(c\,[\mathbf{u}]_{\mathfrak{B}})^i=c\,([\mathbf{u}]_{\mathfrak{B}})^i=c\,\ell^i(\mathbf{u}),$$

Note that for every  $i, j = 1, \ldots, n$ ,

$$\ell^{i}(\mathbf{v}_{j}) = ([\mathbf{v}_{j}]_{\mathfrak{B}})^{i} = \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases},$$

i.e.,  $\ell^i(\mathbf{v}_j) = \delta^i_j$ . This particular set of linear forms will have an important role shortly.

**Example**: Let  $V = (\mathbb{F}_{col}^n, +, \mathbb{F}, \cdot)$  and let  $\mathbf{a} \in \mathbb{F}_{row}^n$ . We define the function  $\ell_{\mathbf{a}} : V \to \mathbb{F}$  by

$$\ell_{\mathbf{a}}(\mathbf{v}) = \mathbf{a} \mathbf{v} = \begin{bmatrix} a_1 & \dots & a_n \end{bmatrix} \begin{bmatrix} v^1 \\ \vdots \\ v^n \end{bmatrix}.$$

The function  $\ell_a$  is a linear form because matrix multiplication is distributive, namely, for  $\mathbf{u}, \mathbf{v} \in V$  and  $c \in \mathbb{F}$ ,

$$\ell_{\mathbf{a}}(\mathbf{u} + \mathbf{v}) = \mathbf{a}(\mathbf{u} + \mathbf{v}) = \mathbf{a}\mathbf{u} + \mathbf{a}\mathbf{v} = \ell_{\mathbf{a}}(\mathbf{u}) + \ell_{\mathbf{a}}(\mathbf{v}),$$

and

$$\ell_{\mathbf{a}}(c\mathbf{u}) = \mathbf{a}(c\mathbf{u}) = c\mathbf{a}\mathbf{u} = c\ell_{\mathbf{a}}(\mathbf{u}).$$

Note how we view the row vector  $\mathbf{a}$  as "constant" whereas the linear form  $\ell_{\mathbf{a}}$  operates on all  $\mathbf{v} \in V$ . To summarize: every vector  $\mathbf{a} \in \mathbb{F}_{\text{row}}^n$  defines via matrix multiplication a linear form on  $\mathbb{F}_{\text{col}}^n$ .

**Example**: Take n = 1 and  $\mathbb{F} = \mathbb{R}$  in the previous example; then  $V = \mathbb{R}$ , and for every  $a \in \mathbb{R}$  we define the function

$$\ell_a(x) = a x$$
.

Thus, linear forms coincide in this case with the good old notion of linear functions  $\mathbb{R} \to \mathbb{R}$ .

**Example**: Let  $V = (M_n(\mathbb{F}), +, \mathbb{F}, \cdot)$  and define the function known as the **trace** (עקבה) of the matrix.

$$\operatorname{tr}(A) = \sum_{i=1}^{n} a_i^i.$$

It is readily verified that the trace is also a linear form.

**Example**: Let S be a non-empty set (it doesn't need to have any other structure than being a set) and consider the set  $V = \mathbb{F}^S$  of all functions  $f: S \to \mathbb{F}$ . We have seen that V is a vector space over  $\mathbb{F}$  with respect to the natural operations of addition and scalar multiplication of field-valued functions (make sure you remember the vectorial structure of  $\mathbb{F}^S$ ). Let  $s \in S$ , and define the function  $\text{Eval}_s: V \to \mathbb{F}$ ,

$$\text{Eval}_s(f) = f(s).$$

(Given a function  $f \in \mathbb{F}^S$ , the function Eval<sub>s</sub> return the value of f at s.) Then, Eval<sub>s</sub> is a linear form, because for every  $f, g \in \mathbb{F}^S$  and  $c \in \mathbb{F}$ ,

$$Eval_s(f+g) = (f+g)(s) = f(s) + g(s) = Eval_s(f) + Eval_s(g),$$

and

$$\text{Eval}_s(c f) = (c f)(s) = c f(s) = c \text{Eval}_s(f).$$

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### 4.2 Properties of linear forms

In this section we review some important properties of linear forms. The following is readily proved inductively:

**Proposition 4.2** Let  $\ell$  be a linear form on a vector space  $(V, +, \mathbb{F}, \cdot)$ . Then for every  $\mathbf{v}_1, \dots, \mathbf{v}_n \in V$  and  $a^1, \dots, a^n \in \mathbb{F}$ ,

$$\ell\left(a^{1}\mathbf{v}_{1}+\cdots+a^{n}\mathbf{v}_{n}\right)=a^{1}\ell(\mathbf{v}_{1})+\cdots+a^{n}\ell(\mathbf{v}_{n}).$$

*Proof*: This is left as an exercise.

**Proposition 4.3** Let  $\ell$  be a linear form on a vector space  $(V, +, \mathbb{F}, \cdot)$ . Then

$$\ell(0_V) = 0_{\mathbb{F}}.$$

*Proof*: Let  $\mathbf{v} \in V$  be arbitrary. Then, using the fact that  $0_{\mathbb{F}}\mathbf{v} = 0_V$  and the properties of  $\ell$ ,

$$\ell(0_V) = \ell(0_{\mathbb{F}} \mathbf{v}) = 0_{\mathbb{F}} \ell(\mathbf{v}) = 0_{\mathbb{F}}.$$

An important fact about linear forms (in finitely-generated vector spaces) is that they are completely determined by their action on basis vectors. We establish this in two separate propositions: **Proposition 4.4** Let V be a finitely-generated vector space, and let

$$\mathfrak{B} = (\mathbf{v}_1 \dots \mathbf{v}_n)$$

be an ordered basis for V. Then, for every set  $c_1, \ldots, c_n$  of scalars there exists a linear form  $\ell$ , such that

$$\ell(\mathbf{v}_i) = c_i$$
 for every  $i = 1, \dots, n$ .

*Proof*: There really is only one way to define such a functional. Since every  $\mathbf{v} \in V$  has a unique representation as

$$\mathbf{v} = a^1 \mathbf{v}_1 + \dots + a^n \mathbf{v}_n,$$

then  $\ell(\mathbf{v})$  must be given by

$$\ell(\mathbf{v}) = a^1 \ell(\mathbf{v}_1) + \dots + a^n \ell(\mathbf{v}_n) = a^1 c_1 + \dots + a^n c_n.$$

To complete the proof, we have to verify that  $\ell$  is a linear form. Let  $\mathbf{v}, \mathbf{w} \in V$  be given by

$$\mathbf{v} = a^1 \mathbf{v}_1 + \dots + a^n \mathbf{v}_n$$
$$\mathbf{w} = b^1 \mathbf{v}_1 + \dots + b^n \mathbf{v}_n.$$

Then,

$$\mathbf{v} + \mathbf{w} = (a^1 + b^1)\mathbf{v}_1 + \dots + (a^n + b^n)\mathbf{v}_n.$$

By the way we defined  $\ell$ ,

$$\ell(\mathbf{v}) = a^1 c_1 + \dots + a^n c_n$$
  
$$\ell(\mathbf{w}) = b^1 c_1 + \dots + b^n c_n,$$

and

$$\ell(\mathbf{v} + \mathbf{w}) = (a^1 + b^1) c_1 + \dots + (a^n + b^n) c_n,$$

so that indeed  $\ell(\mathbf{v} + \mathbf{w}) = \ell(\mathbf{v}) + \ell(\mathbf{w})$ . We proceed similarly to show that  $\ell(k\mathbf{v}) = k\ell(\mathbf{v})$  for  $k \in \mathbb{F}$ .

The following complementing proposition asserts that there really was no other way to define  $\ell$ :

**Proposition 4.5** Let V be a finitely-generated vector space. Let

$$\mathfrak{B} = (\mathbf{v}_1 \dots \mathbf{v}_n)$$

be an ordered basis for V. If two linear forms  $\ell, \ell'$  satisfy

$$\ell(\mathbf{v}_i) = \ell'(\mathbf{v}_i)$$
 for all  $i = 1, ..., n$ ,

then  $\ell = \ell'$ .

*Proof*: By the property of a basis in a finitely-generated vector space, every  $\mathbf{v} \in V$  can be represented uniquely as

$$\mathbf{v} = a^1 \mathbf{v}_1 + \dots + a^n \mathbf{v}_n$$

for some scalars  $a^1, \ldots, a^n$ . Then, by the linearity of  $\ell, \ell'$ ,

$$\ell(\mathbf{v}) = a^1 \ell(\mathbf{v}_1) + \dots + a^n \ell(\mathbf{v}_n) = a^1 \ell'(\mathbf{v}_1) + \dots + a^n \ell'(\mathbf{v}_n) = \ell'(\mathbf{v}).$$

Note how we defined the functional  $\ell$ . Given the  $\mathbf{c} \in \mathbb{F}_{row}^n$ ,

$$\ell(\mathbf{v}) = \begin{bmatrix} c_1 & \dots & c_n \end{bmatrix} \begin{bmatrix} ([\mathbf{v}]_{\mathfrak{B}})^1 \\ \vdots \\ ([\mathbf{v}]_{\mathfrak{B}})^n \end{bmatrix} = \mathbf{c}[\mathbf{v}]_{\mathfrak{B}}.$$

The two last propositions have a very important implication: every linear form can be defined using n scalars. It is difficult not to make a connection with the notion of coordinates. However, at this stage we haven't identified the set of linear forms as a vector space, hence these is yet no meaning to assign them coordinates. This will be rectified in the next section.

Take the particular example where  $V = \mathbb{F}^n$  along with the standard basis,

$$\mathfrak{E} = (\mathbf{e}_1 \quad \dots \quad \mathbf{e}_n).$$

Then every vector  $\mathbf{v} = (v^1, \dots, v^n) \in V$  "coincides with its coordinates", i.e.,  $v^i = ([\mathbf{v}]_{\mathfrak{B}})^i$ . We have just shown that to every linear form  $\ell$  corresponds a unique  $\mathbf{c} \in \mathbb{F}_{\text{row}}^n$ , such that

$$\ell(\mathbf{v}) = \mathbf{c} [\mathbf{v}]_{\mathfrak{E}} = c_1 v^1 + \dots + c_n v^n.$$

#### **Exercises**

(easy) 4.1 Prove using induction that for a linear from  $\ell$  on a vector space V.

$$f(a^1\mathbf{v}_1 + \dots + a^n\mathbf{v}_n) = a^1 f(\mathbf{v}_1) + \dots + a^n f(\mathbf{v}_n)$$

for every  $a^1, \ldots, a^n \in \mathbb{F}$  and  $\mathbf{v}_1, \ldots, \mathbf{v}_n \in V$ .

(intermediate) 4.2 Let  $V = (\mathbb{R}^3, +, \mathbb{R}, \cdot)$  and let

$$\mathbf{v}_1 = (1, 0, 1)$$
  $\mathbf{v}_2 = (0, 1, -2)$  and  $\mathbf{v}_3 = (-1, -1, 0)$ .

(a) Find the linear form  $\ell$  on  $\mathbb{R}^3$  satisfying

$$\ell(\mathbf{v}_1) = 1$$
  $\ell(\mathbf{v}_2) = -2$  and  $\ell(\mathbf{v}_3) = 3$ .

That is, what is  $\ell(x, y, z)$ ?

- (b) Characterize all linear forms satisfying  $\ell(\mathbf{v}_1) = \ell(\mathbf{v}_2) = 0$  and  $\ell(\mathbf{v}_3) \neq 0$ .
- (c) Show that for a linear form such as in the previous article,  $\ell(2,3,-1) \neq 0$ .

(intermediate) 4.3 Let  $(V, +, \mathbb{F}, \cdot)$  be a finitely-generated vector space and let  $\mathbf{v} \in V$  be a non-zero vector,  $\mathbf{v} \neq 0_V$ . Prove that there exists a linear form  $\ell \in V^{\vee}$ , such that  $\ell(\mathbf{v}) \neq 0_{\mathbb{F}}$ .

(intermediate) 4.4 Let  $(V, +, \mathbb{F}, \cdot)$  be a finitely-generated vector space and let  $\mathbf{u}, \mathbf{v} \in V$  be distinct vectors,  $\mathbf{u} \neq \mathbf{v}$ . Prove that there exists a linear form  $\ell \in V^{\vee}$ , such that  $\ell(\mathbf{u}) \neq \ell(\mathbf{v})$ .

(intermediate) 4.5 Let  $(V, +, \mathbb{F}, \cdot)$  be a vector space and let  $\ell, m \in V^{\vee}$  be linear forms satisfying that

$$\ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 if and only if  $m(\mathbf{v}) = 0_{\mathbb{F}}$ .

Prove that there exists an  $a \in \mathbb{F}$  such that  $m = a \ell$ .

(intermediate) 4.6 Consider the infinite-dimensional vector space  $\mathbb{R}[X]$ . Let  $a, b \in \mathbb{R}$  such that a < b. For

$$P = \sum_{i=0}^{n} p_i X^i \in \mathbb{R}[X]$$

we define

$$\int_a^b P(x) dx = \sum_{i=0}^n \frac{p_i}{i+1} (b^{i+1} - a^{i+1}).$$

Let  $Q \in \mathbb{R}[X]$ . Prove that the function  $\ell : \mathbb{R}[X] \to \mathbb{R}$  defined by

$$\ell(P) = \int_a^b P(x)Q(x) \, dx$$

is a linear form. Note: you are not expected to know anything about integrals—just follow the definitions.

### 4.3 The dual space

Let V be a vector space over  $\mathbb{F}$ . In the previous section we defined the notion of linear forms over  $(V, +, \mathbb{F}, \cdot)$ . We denote the set of all linear forms over V by

$$V^{\vee} = \{\ell : V \to \mathbb{F} : \ell \text{ is a linear form}\}.$$

it is a subset of the set of  $\operatorname{Func}(V, \mathbb{F})$ , which comprises all (i.e., not necessarily linear) functions  $f: V \to \mathbb{F}$ . Recall that  $\operatorname{Func}(V, \mathbb{F})$  is itself a vector space over  $\mathbb{F}$  with respect to the function addition

$$(f+g)(\mathbf{v}) = f(\mathbf{v}) + g(\mathbf{v})$$

and the scalar multiplication

$$(c f)(\mathbf{v}) = c f(\mathbf{v}).$$

**Proposition 4.6** The set of linear forms  $V^{\vee}$  is a linear subspace of the vector space Func $(V, \mathbb{F})$  (hence,  $V^{\vee}$  is a vector space in its own sake).

*Proof*: By definition, in order to prove that a set of vectors is a linear subspace, we need to prove that it is non-empty, and that it is closed under addition and scalar multiplication.

The set  $V^{\vee}$  is non-empty, because it contains at least the zero form, which we now denote by  $0_{V^{\vee}}$ . Let  $\ell_1, \ell_2 \in V^{\vee}$ . The sum  $\ell_1 + \ell_2$  is well-defined as a

sum in Func( $V, \mathbb{F}$ ); we need to show that  $\ell_1 + \ell_2 \in V^{\vee}$ , i.e., that it is a linear form. For all  $\mathbf{u}, \mathbf{v} \in V$  and  $c \in \mathbb{F}$ ,

$$(\ell_1 + \ell_2)(\mathbf{u} + \mathbf{v}) = \ell_1(\mathbf{u} + \mathbf{v}) + \ell_2(\mathbf{u} + \mathbf{v})$$

$$= (\ell_1(\mathbf{u}) + \ell_1(\mathbf{v})) + (\ell_2(\mathbf{u}) + \ell_2(\mathbf{v}))$$

$$= (\ell_1(\mathbf{u}) + \ell_2(\mathbf{u})) + (\ell_1(\mathbf{v}) + \ell_2(\mathbf{v}))$$

$$= (\ell_1 + \ell_2)(\mathbf{u}) + (\ell_1 + \ell_2)(\mathbf{v}),$$

and

$$(\ell_1 + \ell_2)(c\mathbf{u}) = \ell_1(c\mathbf{u}) + \ell_2(c\mathbf{u})$$

$$= c \ell_1(\mathbf{u}) + c \ell_2(\mathbf{u})$$

$$= c (\ell_1(\mathbf{u}) + \ell_2(\mathbf{u}))$$

$$= c (\ell_1 + \ell_2)(\mathbf{u}),$$

proving that  $\ell_1 + \ell_2 \in V^{\vee}$ . Likewise, let  $\ell \in V^{\vee}$  and  $a \in \mathbb{F}$ ; we need to show that  $a \ell \in V^{\vee}$ , i.e., that it is a linear form. For all  $\mathbf{u}, \mathbf{v} \in V$  and  $c \in \mathbb{F}$ ,

$$(a \ell)(\mathbf{u} + \mathbf{v}) = a \ell(\mathbf{u} + \mathbf{v})$$

$$= a (\ell(\mathbf{u}) + \ell(\mathbf{v}))$$

$$= a \ell(\mathbf{u}) + a \ell(\mathbf{v})$$

$$= (a \ell)(\mathbf{u}) + (a \ell)(\mathbf{v}),$$

and

$$(a \ell)(c \mathbf{u}) = a \ell(c \mathbf{u})$$
$$= a (c \ell(\mathbf{u}))$$
$$= c (a \ell(\mathbf{u}))$$
$$= c (a \ell)(\mathbf{u}),$$

proving that  $a \ell \in V^{\vee}$ . This completes the proof.

Thus, every vector space  $(V, +, \mathbb{F}, \cdot)$  induces another vector space  $(V^{\vee}, +, \mathbb{F}, \cdot)$  over the same field. The vector space  $V^{\vee}$  is called the space **dual** (דוֹאלִי) to V. You should internalize the fact that elements of  $V^{\vee}$  are also vectors, but they are at the same time functions over a vector space, V. Elements of V and elements of  $V^{\vee}$  are both vectors, albeit belonging to different spaces. In particular, there is no meaning to adding an element of V and an element of

 $V^{\vee}.$  On the other hand, the elements of  $V^{\vee}$  "act" on element of V to yield scalars.

The action  $\ell(\mathbf{v})$  of a linear form  $\ell$  or a vector  $\mathbf{v}$  can be viewed as a function taking an element of  $V^{\vee}$  and an element of V and returning is a scalar. We often denote this pairing by

$$\langle \cdot, \cdot \rangle : V^{\vee} \times V \to F,$$

where

$$\langle \ell, \mathbf{v} \rangle = \ell(\mathbf{v}).$$

**Example:** For  $V = \mathbb{F}_{\text{col}}^n$  we have seen that  $V^{\vee}$  can be identified with  $\mathbb{F}_{\text{row}}^n$ : every  $\mathbf{a} \in \mathbb{F}_{\text{row}}^n$  defined a unique  $\ell_{\mathbf{a}} \in V^{\vee}$  defined by

$$\ell_{\mathbf{a}}(\mathbf{v}) = \mathbf{a} \cdot \mathbf{v}.$$

It is customary to write

$$(\mathbb{F}_{\operatorname{col}}^n)^{\vee} \simeq \mathbb{F}_{\operatorname{row}}^n,$$

where the  $\simeq$  sign mean that the two spaces can be identified (more on that later).  $\blacktriangle \blacktriangle \blacktriangle$ 

### 4.4 Dual bases

Let V be a finitely-generated vector space. What can be said about its dual space? Is it also finitely-generated? If it is, is there a relation between  $\dim_{\mathbb{F}} V$  and  $\dim_{\mathbb{F}} V^{\vee}$ ? The theorem below answers this question affirmatively.

**Theorem 4.7** Let V be a finitely-generated vector space. Let

$$\mathfrak{B} = (\mathbf{v}_1 \dots \mathbf{v}_n)$$

be an ordered basis for V. Then,

$$\mathfrak{B}^{\vee} = \begin{pmatrix} \ell^1 \\ \vdots \\ \ell^n \end{pmatrix}$$

is an ordered basis for  $V^{\vee}$ , called the **dual basis** (בסיס דואלי) of  $\mathfrak{B}$ , where  $\ell^i$  is the unique linear form satisfying

$$\ell^{i}(\mathbf{v}_{j}) = \delta^{i}_{j}$$
 for all  $i, j = 1, \dots, n$ ,

or equivalently

$$\ell^i(\mathbf{v}) = ([\mathbf{v}]_{\mathfrak{B}})^i$$
.

As a result,

$$\dim_{\mathbb{F}} V^{\vee} = \dim_{\mathbb{F}} V.$$

*Proof*: We need to show that  $\mathfrak{B}^{\vee}$  is spanning and independent. Suppose that  $a_1, \ldots, a_n$  are scalars satisfying

$$a_1\ell^1 + \dots + a_n\ell^n = 0_{V^{\vee}}$$

(this is an equality between elements in  $V^{\vee}$ ). In particular, applying both sides on  $\mathbf{v}_{i}$ ,

$$a_1\ell^1(\mathbf{v}_j) + \dots + a_n\ell^n(\mathbf{v}_j) = 0_{V^{\vee}}(\mathbf{v}_j) = 0_{\mathbb{F}},$$

i.e.,

$$a_i = 0_{\mathbb{F}}$$
.

Since this holds for every j = 1, ..., n, it follows that the linear combination of the  $\ell^i$ 's is trivial, namely, the linear forms  $\ell^i$  are linearly-independent.

It remains to show that  $\mathfrak{B}^{\vee}$  is spanning. We will show that any  $\ell \in V^{\vee}$  can be represented as

$$\ell = \ell(\mathbf{v}_1) \ell^1 + \cdots + \ell(\mathbf{v}_n) \ell^n,$$

i.e., it is a linear combination of the linear forms  $\ell^i$  (note that  $\ell(\mathbf{v}_i)$  are scalars). By Proposition 4.5 it suffices to verify that both sides yield the same scalar when acting on basis vectors  $\mathbf{v}_i$ . Indeed,

$$(\ell(\mathbf{v}_1) \ell^1 + \dots + \ell(\mathbf{v}_n) \ell^n)(\mathbf{v}_j) = \ell(\mathbf{v}_1) \ell^1(\mathbf{v}_j) + \dots + \ell(\mathbf{v}_n) \ell^n(\mathbf{v}_j) = \ell(\mathbf{v}_j),$$

which completes the proof.

**Example**: Let  $V = (\mathbb{F}^n, +, \mathbb{F}, \cdot)$  and let

$$\mathfrak{E} = (\mathbf{e}_1 \quad \dots \quad \mathbf{e}_n)$$

be the standard basis. We denote the basis dual to  $\mathfrak{E}$  by

$$\mathfrak{E}^{\vee} = \begin{pmatrix} \mathbf{e}^1 \\ \vdots \\ \mathbf{e}^n \end{pmatrix}.$$

As we have seen, for  $\mathbf{v} = (x^1, \dots, x^n)$  we have

$$e^{i}(\mathbf{v}) = [\mathbf{v}]_{\mathfrak{E}} = x^{i},$$

that is the *i*-th linear form in the dual standard basis extracts the *i*-th coordinate of a vector.  $\blacktriangle$   $\blacktriangle$ 

Since  $V^{\vee}$  is a vector space and since  $\mathfrak{B}^{\vee}$  is a basis for  $V^{\vee}$ , every linear form in  $V^{\vee}$  can be represented using coordinates. Every  $\ell \in V^{\vee}$  has a unique representation

$$\ell = \underbrace{\begin{bmatrix} c_1 & \dots & c_n \end{bmatrix}}_{[\ell]_{\mathfrak{B}^{\vee}}} \underbrace{\begin{pmatrix} \ell^1 \\ \vdots \\ \ell^n \end{pmatrix}}_{\mathfrak{B}^{\vee}},$$

where  $[\ell]_{\mathfrak{B}^{\vee}} \in \mathbb{F}_{row}^n$  is the coordinate matrix. We have just proved that

$$[\ell]_{\mathfrak{B}^{\vee}} = [\ell(\mathbf{v}_1) \dots \ell(\mathbf{v}_n)].$$

Consider now the following question: given a basis  $\mathfrak{B}$  on a finitely-generated vector space V, and its dual basis, every vector v and every linear form  $\ell$  can be written using coordinates,

$$\mathbf{v} = \mathfrak{B}\left[\mathbf{v}\right]_{\mathfrak{B}} \qquad \text{and} \qquad \ell = [\ell]_{\mathfrak{B}^\vee} \mathfrak{B}^\vee.$$

Can we express the scalar  $\ell(\mathbf{v})$  obtained by the action of the linear form on the vector using their respective coordinates?

Let denote the coordinates of  $\mathbf{v}$  and  $\ell$  as

$$\mathbf{v} = a^1 \mathbf{v}_1 + \dots + a^n \mathbf{v}_n$$
$$\ell = b_1 \ell^1 + \dots + b_n \ell^n,$$

namely,

$$[\mathbf{v}]_{\mathfrak{B}} = \begin{bmatrix} a^1 \\ \vdots \\ a^n \end{bmatrix}$$
 and  $[\ell]_{\mathfrak{B}^{\vee}} = [b_1 \dots b_n].$ 

Then,

$$\ell(\mathbf{v}) = \sum_{i=1}^{n} b_i \ell^i \left( \sum_{j=1}^{n} a^j \mathbf{v}_j \right)$$

$$= \sum_{i=1}^{n} \sum_{j=1}^{n} b_i a^j \ell^i(\mathbf{v}_j)$$

$$= \sum_{i=1}^{n} \sum_{j=1}^{n} b_i a^j \delta^i_j$$

$$= \sum_{i=1}^{n} b_i a^i.$$

Consider the right-hand side; it is the product of the row vector  $[\ell]_{\mathfrak{B}^{\vee}}$  and the column vector  $[v]_{\mathfrak{B}}$ .

We have just proved the following:

**Proposition 4.8** Let V be a finitely-generated vector space. Let

$$\mathfrak{B} = (\mathbf{v}_1 \dots \mathbf{v}_n)$$

be an ordered basis for V and let

$$\mathfrak{B}^{\vee} = \begin{pmatrix} \ell^1 \\ \vdots \\ \ell^n \end{pmatrix}$$

be its dual basis. Then, for every  $\ell \in V^{\vee}$  and  $\mathbf{v} \in V$ ,

$$\ell(\mathbf{v}) = [\ell]_{\mathfrak{B}^{\vee}}[\mathbf{v}]_{\mathfrak{B}}.$$

We have seen that given an ordered basis  $\mathfrak{B} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$  and its dual  $\mathfrak{B}^{\vee} = (\ell^1, \dots, \ell^n)$  in a finitely-generated vector space, every linear form  $\ell \in V^{\vee}$  can be represented as

$$\ell = \sum_{i=1}^{n} \ell(\mathbf{v}_i) \, \ell^i.$$

This representation has an analog for vectors: every vector  $\mathbf{v} \in V$  is given by

$$\mathbf{v} = \sum_{i=1}^{n} \ell^{i}(\mathbf{v}) \, \mathbf{v}_{i},$$

because by definition,  $\ell^i(\mathbf{v}) = ([\mathbf{v}]_{\mathfrak{B}})^i$ .

We end this section with addressing the transition between dual bases:

**Theorem 4.9** Let V be a finitely-generated vector space. Let

$$\mathfrak{B} = (\mathbf{v}_1 \dots \mathbf{v}_n)$$
 and  $\mathfrak{C} = (\mathbf{w}_1 \dots \mathbf{w}_n)$ 

be ordered bases for V, related by a transition matrix  $P \in GL_n(\mathbb{F})$ ,

$$\mathfrak{C} = \mathfrak{B} P$$
.

Denote the corresponding dual bases by

$$\mathfrak{B}^{\vee} = \begin{pmatrix} \ell^1 \\ \vdots \\ \ell^n \end{pmatrix} \qquad and \qquad \mathfrak{C}^{\vee} = \begin{pmatrix} m^1 \\ \vdots \\ m^n \end{pmatrix}.$$

Then, the transition matrix from  $\mathfrak{B}^{\vee}$  to  $\mathfrak{C}^{\vee}$  is  $Q = P^{-1}$ ,

$$\mathfrak{C}^{\vee} = Q\mathfrak{B}^{\vee}.$$

*Proof*: By definition of the dual basis,

$$m^{j}(\mathbf{w}_{i}) = \delta_{i}^{j}$$
 for all  $i, j = 1, \dots, n$ .

It is given that

$$\mathbf{w}_i = \sum_{k=1}^n p_i^k \mathbf{v}_k,$$

and we need to show that

$$m^j = \sum_{s=1}^n q_s^j \ell^s.$$

This is an identity between linear forms; both sides are equal if they yield the same set of scalars when acting on the basis vectors  $\mathbf{w}_i$ . Indeed, for every i, j = 1, ..., n,

$$\sum_{s=1}^{n} q_s^j \ell^s(\mathbf{w}_i) = \sum_{s=1}^{n} q_s^j \ell^s \left( \sum_{k=1}^{n} p_i^k \mathbf{v}_k \right)$$

$$= \sum_{s=1}^{n} q_s^j \sum_{k=1}^{n} p_i^k \ell^s(\mathbf{v}_k)$$

$$= \sum_{s=1}^{n} q_s^j \sum_{k=1}^{n} p_i^k \delta_k^s$$

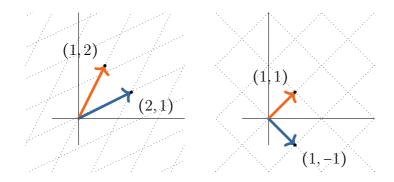
$$= \sum_{k=1}^{n} q_k^j p_i^k$$

$$= (PQ)_i^j = \delta_i^j.$$

This completes the proof.

**Example**: Consider once again the vector space  $(\mathbb{R}^2, +, \mathbb{R}, \cdot)$  endowed with the two bases

$$\mathfrak{B} = ((1,2) (2,1))$$
 and  $\mathfrak{C} = ((1,1) (1,-1)).$ 



We have seen that

$$\underbrace{\left((1,1)\quad (1,-1)\right)}_{\mathfrak{C}} = \underbrace{\left((1,2)\quad (2,1)\right)}_{\mathfrak{B}} \underbrace{\begin{bmatrix} 1/3 & -1\\ 1/3 & 1 \end{bmatrix}}_{P},$$

and

$$\underbrace{\left((1,2)\quad (2,1)\right)}_{\mathfrak{B}} = \underbrace{\left((1,1)\quad (1,-1)\right)}_{\mathfrak{C}} \underbrace{\begin{bmatrix} 3/2 & 3/2 \\ -1/2 & 1/2 \end{bmatrix}}_{O}.$$

We now calculate the dual bases

$$\mathfrak{B}^{\vee} = \begin{pmatrix} \ell^1 \\ \ell^2 \end{pmatrix}$$
 and  $\mathfrak{C}^{\vee} = \begin{pmatrix} m^1 \\ m^2 \end{pmatrix}$ .

Since

$$\ell^i(\mathbf{v}) = ([\mathbf{v}]_{\mathfrak{B}})^i,$$

we have to find the coordinates of every vector  $v \in \mathbb{R}^2$  relative to the basis  $\mathfrak{B}$ . Write  $\mathbf{v} = (x, y)$ , then

$$(x,y) = \ell^1(\mathbf{v})(1,2) + \ell^2(\mathbf{v})(2,1),$$

from which we obtain that

$$\ell^1(x,y) = \frac{1}{3}(2y - x)$$
 and  $\ell^2(x,y) = \frac{1}{3}(2x - y)$ .

Similarly,

$$(x,y) = m^{1}(\mathbf{v})(1,1) + m^{2}(\mathbf{v})(1,-1),$$

from which we obtain that

$$m^{1}(x,y) = \frac{1}{2}(x+y)$$
 and  $m^{2}(x,y) = \frac{1}{2}(x-y)$ .

Since  $\mathfrak{C} = \mathfrak{B}P$  we expect that  $\mathfrak{C}^{\vee} = Q\mathfrak{B}^{\vee}$ , i.e.,

$$\binom{m^1}{m^2} = \begin{bmatrix} 3/2 & 3/2 \\ -1/2 & 1/2 \end{bmatrix} \binom{\ell^1}{\ell^2}$$

Indeed, for every  $\mathbf{v} = (x, y)$ ,

$$(\frac{3}{2}\ell^1 + \frac{3}{2}\ell^2)(\mathbf{v}) = \frac{3}{2} \cdot \frac{1}{3}(2y - x) + \frac{3}{2} \cdot \frac{1}{3}(2x - y) = \frac{1}{2}(x + y) = m^1(\mathbf{v}),$$

and

$$\left(-\frac{1}{2}\ell^1 + \frac{1}{2}\ell^2\right)(\mathbf{v}) = -\frac{1}{2} \cdot \frac{1}{3}(2y - x) + \frac{1}{2} \cdot \frac{1}{3}(2x - y) = \frac{1}{2}(x - y) = m^2(\mathbf{v}).$$

#### Exercises

(easy) 4.7 Consider the vector space  $(\mathbb{R}^2, +, \mathbb{R}, \cdot)$ . Find the ordered basis dual to the ordered basis

$$\mathfrak{B} = ((3,4) \ (5,7)).$$

(intermediate) 4.8 Let  $(V, +, \mathbb{F}, \cdot)$  be a finitely-generated vector space. Prove that

- (a)  $\mathbf{v} = 0_V$  if and only if  $\ell(\mathbf{v}) = 0$  for all  $\ell \in V^{\vee}$ .
- (b)  $\ell = 0_{V^{\vee}}$  if and only if  $\ell(\mathbf{v}) = 0$  for all  $\mathbf{v} \in V$ .

(intermediate) 4.9 Consider the vector space  $(\mathbb{C}^3, +, \mathbb{C}, \cdot)$ . Find the basis dual to the ordered basis

$$\mathfrak{B} = ((1,0,-1) \ (1,1,1) \ (2,2,0)).$$

(intermediate) 4.10 Let  $V = (\mathbb{Q}^3, +, \mathbb{Q}, \cdot)$  and consider the ordered basis

$$\mathfrak{B} = ((1,0,-1),(1,1,1),(2,2,0)).$$

- (a) Find the basis  $\mathfrak{B}^{\vee}$  dual to  $\mathfrak{B}$ .
- (b) Let  $\mathcal{E} = (\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$  be the standard basis for V. Find the basis  $\mathcal{E}^{\vee}$  dual to  $\mathcal{E}$
- (c) Find the transition matrix P satisfying  $\mathfrak{B} = \mathcal{E}P$ .
- (d) Find the transition matrix Q satisfying  $\mathcal{E}^{\vee} = Q\mathfrak{B}^{\vee}$  (write the bases  $\mathcal{E}^{\vee}$  and  $\mathfrak{B}^{\vee}$  as columns of linear forms).
- (e) Find the transition matrix P satisfying  $\mathcal{E} = \mathfrak{B}P$ .
- (f) Find the transition matrix Q satisfying  $\mathfrak{B}^{\vee} = Q\mathcal{E}^{\vee}$ .

(intermediate) 4.11 Repeat the previous question with  $\mathcal{E}$  replaced by

$$\mathfrak{C} = ((1,1,0),(1,0,1),(0,1,1)).$$

(intermediate) 4.12 Based on the last two questions, formulate a general statement and prove it.

(intermediate) 4.13 Let  $(V, +, \mathbb{F}, \cdot)$  be a vector space of dimension at least n. Let  $A \in GL_n(\mathbb{F})$  (an invertible square matrix) and let

$$(\mathbf{v}_1 \quad \dots \quad \mathbf{v}_n)$$

be an independent sequence of vectors. Define the linear forms

$$\begin{pmatrix} \varphi^1 \\ \vdots \\ \varphi^n \end{pmatrix}$$

via

$$\varphi^{i}(\mathbf{v}_{j}) = a_{j}^{i}$$
 for all  $i, j = 1, \dots, n$ .

(Recall that this defines the linear forms uniquely.) Show that the linear forms  $\varphi^1, \ldots, \varphi^n$  are linearly-independent. Try to relate this question to the last three.

(harder) 4.14 Let  $\mathfrak{B} = (\mathbf{v}_1, \mathbf{v}_2, \dots)$  be an infinite (but countable) basis for a vector space V over a field  $\mathbb{F}$ . Define a sequence of linear forms  $\mathfrak{B}^{\vee} = (\ell^1, \ell^2, \dots)$  by

$$\ell^i(\mathbf{v}_i) = \delta^i_i.$$

- (a) Show that the functions  $\ell^i$  are indeed well-defined for all  $\mathbf{v} \in V$ , and are linear forms.
- (b) Show that the sequence  $\mathfrak{B}^{\vee}$  is linearly-independent.
- (c) Show that  $\mathfrak{B}^{\vee}$  is *not* a basis for  $V^{\vee}$ . I.e., there exists an  $\ell \in V^{\vee}$  which is not in the span of  $\mathfrak{B}^{\vee}$ . Hint: set  $\ell(\mathbf{v}_i) = 1$  for all  $i \in \mathbb{N}$ .

### 4.5 Null space and annihilator

#### 4.5.1 The annihilator of a set of vectors

**Definition 4.10** Let V be a vector space over  $\mathbb{F}$  and let  $S \subseteq V$  be a subset (not necessarily a subspace). The **annihilator** (קבוצת המאפסים) of S is the set  $S^0 \subseteq V^{\vee}$  of linear forms that vanish on all elements in S,

$$S^0 = \left\{ \ell \in V^\vee \ : \ \ell(\mathbf{v}) = 0_{\mathbb{F}} \ for \ all \ \mathbf{v} \in S \right\} \subseteq V^\vee.$$

(In some places the notation is Ann(S).)

**Example**: Let  $S = \{0_V\}$ , then the set of linear forms  $\ell \in V^{\vee}$  satisfying that  $\ell(\mathbf{v}) = 0_{\mathbb{F}}$  for all  $\mathbf{v} \in S$ , i.e.,  $\ell(0_V) = 0_{\mathbb{F}}$  is the entirety of  $V^{\vee}$ , i.e.,

$$\{0_V\}^0 = V^{\vee}.$$

**Example**: Let  $V = (\mathbb{R}^2, +, \mathbb{R}, \cdot)$  and let  $S = \{(1,0)\}$ . Then,

$$S^0 = \{ \ell \in V^{\vee} : \ell(1,0) = 0_{\mathbb{F}} \}.$$

Take the standard basis for  $V^{\vee}$ ,

$$e^{1}(x, y) = x$$
 and  $e^{2}(x, y) = y$ .

Writing  $\ell = a \mathbf{e}^1 + b \mathbf{e}^2$ , we have that

$$\ell(1,0) = 0_{\mathbb{F}}$$
 if and only if  $a = 0_{\mathbb{F}}$ ,

so that

$$S^0 = \{b \, \mathbf{e}^2 : b \in \mathbb{F}\} = \mathbb{F} \, \mathbf{e}^2.$$

**Example**: Let  $V = (\mathbb{R}^2, +, \mathbb{R}, \cdot)$  and let  $S = \{(1,0), (0,1)\}$ . Then,

$$S^0 = \{ \ell \in V^\vee \ : \ \ell(1,0) = 0_{\mathbb{F}} \quad \text{ and } \quad \ell(0,1) = 0_{\mathbb{F}} \}.$$

Using the same basis for  $V^{\vee}$ , we obtain that both a and b vanish, i.e.,

$$S^0 = \{0_{V^\vee}\}.$$

**A A A** 

Look at the above three example: first notice that the larger S is, the smaller  $S^0$  is. Second, in all instances  $S^0$  turned out to be a linear subspace of  $V^{\vee}$ . The next two propositions show that this is always the case:

**Proposition 4.11** Let V be a vector space over  $\mathbb{F}$  and let  $S \subseteq V$  be a subset. Then,

$$S^0 \leq V^{\vee}.$$

*Proof*: We need to show that  $S^0$  is non-empty and that it is closed under addition and scalar multiplication. The set  $S^0$  is non-empty because  $0_{V^{\vee}} \in S^0$ . Let  $\ell, \ell' \in S^0$ , i.e.,

$$\ell(\mathbf{v}) = \ell'(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\mathbf{v} \in S$ .

Then,

$$(\ell + \ell')(\mathbf{v}) = \ell(\mathbf{v}) + \ell'(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\mathbf{v} \in S$ ,

proving that  $\ell + \ell' \in S^0$ . Likewise, let  $\ell \in S^0$  and  $a \in \mathbb{F}$ , then

$$(a\ell)(\mathbf{v}) = a\ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\mathbf{v} \in S$ ,

proving that  $a \ell \in S^0$ . By definition,  $S^0 \leq V^{\vee}$ .

**Proposition 4.12** Let  $(V, +, \mathbb{F}, \cdot)$  be a vector space and let  $S, T \subseteq V$ . Then,

- (a) If  $S \subseteq T$  then  $T^0 \le S^0$ .
- (b)  $S^0 = (\operatorname{Span} S)^0$

*Proof*: For the first item, let  $\ell \in T^0$ , i.e.,

$$\ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\mathbf{v} \in T$ .

Since  $S \subseteq T$ , it follows that

$$\ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\mathbf{v} \in S$ ,

i.e.,  $\ell \in S^0$ , proving that  $T^0 \subseteq S^0$ .

For the second item, let  $\ell \in S^0$ , i.e.,

$$\ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\mathbf{v} \in S$ .

Every  $\mathbf{v} \in \operatorname{Span} S$  is of the form

$$\mathbf{v} = a^1 \mathbf{v}_1 + \dots + a^n \mathbf{v}_n$$

for some  $\mathbf{v}_1, \dots, \mathbf{v}_n \in S$ , hence

$$\ell(\mathbf{v}) = a^1 \ell(\mathbf{v}_1) + \dots + a^n \ell(\mathbf{v}_n) = 0_{\mathbb{F}},$$

proving that  $\ell \in (\operatorname{Span} S)^0$ , i.e.,

$$S^0 \subseteq (\operatorname{Span} S)^0$$
.

Conversely, since  $S \subseteq \operatorname{Span} S$ , it follows from the first item that  $(\operatorname{Span} S)^0 \subseteq S^0$ , proving that  $(\operatorname{Span} S)^0 = S^0$ .

Thus far, S was just any old set; consider now the case there S = W is a subspace of V, in which case we have two subspaces, W and  $W^0$ , of spaces, V and  $V^{\vee}$ , having the same dimension. As we show the dimensions of W and  $W^0$  are inter-related:

**Proposition 4.13** Let  $(V, +, \mathbb{F}, \cdot)$  be a finitely-generated vector space and let  $W \leq V$ . Then,

$$\dim_{\mathbb{F}} W + \dim_{\mathbb{F}} W^0 = \dim_{\mathbb{F}} V.$$

*Proof*: Suppose that

$$\dim_{\mathbb{F}} W = n$$
 and  $\dim_{\mathbb{F}} V = n + k$ .

Let  $(\mathbf{w}_1 \dots \mathbf{w}_n)$  be an ordered basis for W, which we complete (using Proposition 3.36) into an ordered basis

$$\mathfrak{B} = (\mathbf{w}_1, \dots, \mathbf{w}_n, \mathbf{v}_1, \dots, \mathbf{v}_k)$$

for V. We partition its dual basis accordingly

$$\mathfrak{B}^{\vee} = (\ell^1, \dots, \ell^n, m^1, \dots, m^k),$$

such that

$$\ell^i(\mathbf{w}_j) = \delta^i_j$$
  $\ell^i(\mathbf{v}_j) = 0$   $m^i(\mathbf{w}_j) = 0$  and  $m^i(\mathbf{v}_j) = \delta^i_j$ .

We will be done if we prove that  $(m_1, ..., m_k)$  is an ordered basis for  $W^0$ , for then  $\dim_{\mathbb{F}} W^0 = k$ .

By the definition of a basis, every  $\ell \in W^0 \leq V^{\vee}$  can be written as

$$\ell = (a_1\ell^1 + \dots + a_n\ell^n) + (b_1m^1 + \dots + b_km^k).$$

For every  $j = 1, \ldots, n$ ,

$$0_{\mathbb{F}} = \ell(\mathbf{w}_{i}) = (a_{1}\ell^{1} + \dots + a_{n}\ell^{n})(\mathbf{w}_{i}) + (b_{1}m^{1} + \dots + b_{k}m^{k})(\mathbf{w}_{i}) = a_{i},$$

proving that

$$\ell = b_1 m^1 + \dots + b_k m^k,$$

i.e.,  $(m^1, ..., m^k)$  is a generating set for  $W^0$ ; since it is also independent, it is a basis for  $W^0$ .

### 4.5.2 The null space of a set of linear forms

The notion of an annihilating set has a dual version:

**Definition 4.14** Let V be vector space and let  $L \subseteq V^{\vee}$ . The **null space** (קבוצת האפסים) of L is the set of vectors

$$L_0 = \{ \mathbf{v} \in V : \ell(\mathbf{v}) = 0_{\mathbb{F}} \text{ for all } \ell \in L \} \subseteq V.$$

**Example**: Let V be any vector space and  $L = \{0_{V^{\vee}}\}$ . Then,

$$L_0 = \{ \mathbf{v} \in V : 0_{V^{\vee}}(\mathbf{v}) = 0_{\mathbb{F}} \} = V.$$

**Example**: Let  $V = \mathbb{F}^3_{\text{col}}$  and let  $L = \{\ell\}$  for

$$\ell([x,y,z]^T) = x + y + z.$$

Then,

$$L_0 = \{([x, y, z]^T) \in \mathbb{F}_{\text{col}}^3 : x + y + z = 0\},\$$

which we know how to express explicitly. In fact, we know that

$$L_0 = \begin{bmatrix} -s - t \\ s \\ t \end{bmatrix} : s, t \in \mathbb{F} = \operatorname{Span} \left\{ \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix} \right\}.$$

This example shows that the left-hand side of a linear equation of the type we started this course with is really a linear form, and the solution of a homogeneous equation is nothing but its null space.  $\blacktriangle$   $\blacktriangle$ 

**Example**: Let  $V = M_2(\mathbb{F})$  and let  $\ell = \text{tr}$ , i.e.,

$$\ell\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix}\right) = a + d.$$

It is easy to see that

$$\{\ell\}_0 = \left\{ \begin{bmatrix} a & b \\ c & -a \end{bmatrix} : a, b, c \in \mathbb{F} \right\},$$

or

$$\{\ell\}_0 = \operatorname{Span}\left\{\begin{bmatrix}1 & 0\\ 0 & -1\end{bmatrix}, \begin{bmatrix}0 & 1\\ 0 & 0\end{bmatrix}, \begin{bmatrix}0 & 0\\ 1 & 0\end{bmatrix}\right\}.$$

The following three propositions are the analogs of Propositions 4.11–4.13:

**Proposition 4.15** The null space of a set of linear forms is a vector subspace: let V be a vector space and let  $L \subseteq V^{\vee}$ , then

$$L_0 \leq V$$
.

*Proof*: The set  $L_0$  is non-empty because it contains  $0_V$ . Let  $\mathbf{u}, \mathbf{v} \in L_0$ , i.e.,

$$\ell(\mathbf{u}) = \ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\ell \in L$ .

Then,

$$\ell(\mathbf{u} + \mathbf{v}) = \ell(\mathbf{u}) + \ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\ell \in L$ ,

which implies that  $\mathbf{u} + \mathbf{v} \in L_0$ . For  $\mathbf{u} \in L_0$  and  $a \in \mathbb{F}$ ,

$$\ell(a\mathbf{u}) = a\ell(\mathbf{u}) = 0_{\mathbb{F}}$$
 for all  $\ell \in L$ ,

which implies that  $a \mathbf{u} \in L_0$ . By definition,  $L_0$  is a linear subspace of V.

**Proposition 4.16** Let  $(V, +, \mathbb{F}, \cdot)$  be a vector space and let  $L, M \subseteq V^{\vee}$ . Then,

- (a) If  $L \subseteq M$  then  $M_0 \leq L_0$ .
- (b)  $L_0 = (\text{Span } L)_0$

*Proof*: Before we prove it formally, two observations: (i) the larger a set of linear forms is, the more constraints are imposed on its null space, hence its null space should be smaller. (ii) Think of  $L_0$  as a set of homogeneous linear equations on  $\mathbb{F}_{\text{col}}^n$  (just as an example—we haven't even required V to be finitely-generated). The span of L is the set of all linear equations that are linear combinations of the equations in L; we know that the space of solutions doesn't change, which explains the second item.

And now to the formal proof. For the first item, let  $\mathbf{v} \in M_0$ , i.e.,

$$\ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\ell \in M$ .

Since  $L \subseteq M$ , it follows that

$$\ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\ell \in L$ ,

i.e.,  $\mathbf{v} \in L_0$ , proving that  $M_0 \subseteq L_0$ .

For the second item, let  $\mathbf{v} \in L_0$ , i.e.,

$$\ell(\mathbf{v}) = 0_{\mathbb{F}}$$
 for all  $\ell \in L$ .

Every  $\ell \in \operatorname{Span} L$  is of the form

$$\ell = a_1 \ell^1 + \dots + a_n \ell^n$$

for some  $\ell^1, \ldots, \ell^n \in L$ , hence

$$\ell(\mathbf{v}) = (a_1\ell^1 + \dots + a_n\ell^n)(\mathbf{v}) = a_1\ell^1(\mathbf{v}) + \dots + a_n\ell^n(\mathbf{v}) = 0_{\mathbb{F}},$$

proving that  $\mathbf{v} \in (\operatorname{Span} L)_0$ , i.e.,

$$L_0 \subseteq (\operatorname{Span} L)_0$$
.

Conversely, since  $L \subseteq \operatorname{Span} L$ , it follows from the first item that  $(\operatorname{Span} L)_0 \subseteq L_0$ , proving that  $(\operatorname{Span} L)_0 = L_0$ .

**Proposition 4.17** Let  $(V, +, \mathbb{F}, \cdot)$  be a finitely-generated vector space and let  $L \leq V^{\vee}$ . Then,

$$\dim_{\mathbb{F}} L + \dim_{\mathbb{F}} L_0 = \dim_{\mathbb{F}} V.$$

*Proof*: This is left as an exercise; start with a basis for  $L_0$ .

We now combine the notions of null sets and annihilators to prove the following:

**Proposition 4.18** Let V be a finitely-generated vector space. Let  $W \leq V$  and let  $L \leq V^{\vee}$ . Then,

$$(W^0)_0 = W$$
 and  $(L_0)^0 = L$ . (4.1)

*Proof*: By Proposition 4.17 and Proposition 4.13,

$$\dim_{\mathbb{F}} W^0 + \dim(W^0)_0 = \dim_{\mathbb{F}} V$$

and

$$\dim_{\mathbb{F}} W + \dim_{\mathbb{F}} W^0 = \dim_{\mathbb{F}} V,$$

from which we conclude that W and  $\dim(W^0)_0$  have the same dimension. It suffices then to show every vector in W is also in  $(W^0)_0$  (actually, justify this assertion formally).

By definition,

$$(W^0)_0 = \{ \mathbf{v} \in V : \ell(\mathbf{v}) = 0_{\mathbb{F}} \text{ for all } \ell \in W^0 \},$$

whereas

$$W^0 = \{ \ell \in V^{\vee} : \ell(\mathbf{w}) = 0_{\mathbb{F}} \text{ for all } \mathbf{w} \in W \}.$$

So let  $\mathbf{w} \in W$ . For every  $\ell \in W^0$ 

$$\ell(\mathbf{w}) = 0_{\mathbb{F}},$$

from which follows that  $\mathbf{w} \in (W^0)_0$ , proving that  $W \subseteq (W^0)_0$ , which completes the proof. The second part is left as an exercise.

**Corollary 4.19** Let V be a finitely-generated vector space and let  $U, W \leq V$ . Then,

$$U = W$$
 if and only if  $U^0 = W^0$ .

Likewise, let  $L, M \leq V^{\vee}$ . Then,

$$L = M$$
 if and only if  $L_0 = M_0$ .

*Proof*: We prove the first item. One direction is obvious, U = W implies that  $U^0 = W^0$ . The other direction follows from the fact that  $U^0 = W^0$  implies that  $(U^0)_0 = (W^0)_0$ , along with (4.1). The second item is left as an exercise.

#### Exercises

(intermediate) 4.15 Let  $(V, +, \mathbb{F}, \cdot)$  be a vector space and let  $W \leq V$ . Define

$$U = \{ \ell \in V^{\vee} : W \le \{ \ell \}_0 \}.$$

Show that  $U \leq V^{\vee}$ .

(easy) 4.16 Let

$$\mathbf{w} = (1,1) \in \mathbb{R}^2$$
.

Calculate  $\{\mathbf{w}\}^0$ .

(intermediate) 4.17 Let  $(V, +, \mathbb{F}, \cdot)$  be a finitely-generated vector space, let  $W_1, W_2 \leq V$  and let  $L_1, L_2 \leq V^{\vee}$ . Show that

- (a)  $(W_1 \cap W_2)^0 = (W_1)^0 + (W_2)^0$ .
- (b)  $(W_1 + W_2)^0 = (W_1)^0 \cap (W_2)^0$ .
- (c)  $(L_1 \cap L_2)_0 = (L_1)_0 + (L_2)_0$ .
- (d)  $(L_1 + L_2)_0 = (L_1)_0 \cap (L_2)_0$ .

(intermediate) 4.18 Find a basis for the annihilator of

$$W = \text{Span}((1,2,-3,4),(0,1,4,-1)) \le \mathbb{R}^4.$$

(intermediate) 4.19 Let  $V = (\mathbb{R}^4, +, \mathbb{R}, \cdot)$ , and let

$$\ell_1(\mathbf{x}) = x^1 + 2x^2 + 2x^3 + x^4$$
  $\ell_2(\mathbf{x}) = 2x^1 + x^4$  
$$\ell_3(\mathbf{x}) = -2x^1 - 3x^3 + 3x^4.$$

Find a subspace  $W \leq \mathbb{R}^4$  such that

$$W^0 = \text{Span}(\{\ell_1, \ell_2, \ell_3\}).$$

(intermediate) 4.20 Let V be a finitely-generated vector space and let  $L \leq V^{\vee}$ . Show that

$$(L_0)^0 = L.$$

Conclude that for  $L, M \leq V^{\vee}$ ,

$$L = M$$
 if and only if  $L_0 = M_0$ 

(harder) 4.21 Prove Proposition 4.17.

#### 4.5.3 Linear systems and linear forms

Let  $A \in M_{m \times n}(\mathbb{F})$ . We consider the space of solutions

$$S_A = \{ \mathbf{v} \in \mathbb{F}_{\text{col}}^n : A\mathbf{v} = 0_{\mathbb{F}_{\text{col}}^m} \}$$

of the homogeneous linear system. Each of the m rows of A can be viewed as a linear form acting on an element of  $\mathbb{F}_{col}^n$ ; Thus the set of solutions  $S_A$  equals,

$$S_A = \{ \mathbf{v} \in \mathbb{F}_{col}^n : \operatorname{Row}^i(A)\mathbf{v} = 0, \ i = 1, ..., m \} = \{ \operatorname{Row}^i(A) : i = 1, ..., m \}_0.$$

By Proposition 4.16,

$$S_A = \left(\operatorname{Span}\left\{\operatorname{Row}^i(A) : i = 1, \dots, m\right\}\right)_0 = (\mathcal{R}(A))_0,$$

i.e., the set of solutions is the null space of the row space of A. Proposition 4.17 asserts that

$$\dim_{\mathbb{F}} \mathscr{R}(A) + \dim_{\mathbb{F}} S_A = \dim_{\mathbb{F}} \mathbb{F}_{\operatorname{col}}^n = n.$$

Recall that the dimension of the row space equals the dimension of the column space, and that this dimension is called the rank of the matrix. Thus,

$$\dim_{\mathbb{F}} S_A = n - \operatorname{rank} A.$$

In other words, for a homogeneous linear system of m equations in n unknowns, the space of solutions is a linear subspace of  $\mathbb{F}^n_{\text{col}}$ , whose dimension is n minus the rank of A, which we recall is the number of non-zero rows in its row-reduced form (make sure that this makes sense to you).

**Example**: Consider once again the matrix

$$\begin{bmatrix} 0 & 0 & 1 & 4 \\ 2 & 4 & 2 & 6 \\ 3 & 6 & 2 & 5 \end{bmatrix},$$

whose row-reduced form is

$$\begin{bmatrix} 1 & 2 & 0 & -1 \\ 0 & 0 & 1 & 4 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

In this case, n = 4 and rankA = 2. As for the space of solutions, its dimension is 2,

$$S_A = \left\{ \begin{bmatrix} -2s + t \\ s \\ -4t \\ t \end{bmatrix} : s, t \in \mathbb{R} \right\} = \operatorname{Span} \left\{ \begin{bmatrix} -2 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ -4 \\ 1 \end{bmatrix} \right\}.$$

**Example**: Let's have a different look on the relation between equations and solutions. Let  $V = \mathbb{F}^3_{\text{col}}$ ; then  $V^{\vee} = \mathbb{F}^3_{\text{row}}$  under the action through row-column multiplication. We use the standard bases for V and  $V^{\vee}$ . Consider the linear form

$$\ell(\mathbf{x}) = \begin{bmatrix} 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} x^1 \\ x^2 \\ x^3 \end{bmatrix} = x^1 + x^2 + x^3.$$

The space of solutions, which is the null space of  $\{\ell\}$  is

$$\{\ell\}_0 = \left\{ \begin{bmatrix} -s - t \\ s \\ t \end{bmatrix} : s, t \in \mathbb{F} \right\} = \operatorname{Span} \left\{ \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix} \right\} \le \mathbb{F}_{\operatorname{col}}^3.$$

The equation represented by the linear form whose coordinates (relative to the standard dual basis) are [1,1,1], induces a space of solutions, which is a two-dimensional subspace of  $\mathbb{F}^3_{\text{col}}$ . As we know, the space of solution does not change if we multiply  $\ell$  by any non-zero scalar: the space of solution is in fact the null space of the one-dimensional subspace of linear forms, whose coordinate representation is

$$\mathbb{F}[1,1,1] = \{[a,a,a] \ : \ a \in \mathbb{F}\}.$$

Denote the space of solutions by W. We may ask the opposite question: does the space of solutions define the equation whose solution they are. This is really asking: what are all the linear forms  $\ell$  satisfying  $\ell(\mathbf{w}) = 0_{\mathbb{F}}$  for all  $\mathbf{w} \in W$ . Write such a linear form as

$$\ell = a_1 \, \mathbf{e}^1 + a_2 \, \mathbf{e}^2 + a_3 \, \mathbf{e}^3,$$

we require that  $\ell \in W^0$ , which is the case if and only if

$$\ell\left(\begin{bmatrix} -1\\1\\0 \end{bmatrix}\right) = -a_1 + a_2 = 0_{\mathbb{F}} \quad \text{and} \quad \ell\left(\begin{bmatrix} -1\\0\\1 \end{bmatrix}\right) = -a_1 + a_3 = 0_{\mathbb{F}},$$

from which we obtain that  $a_1 = a_2 = a_3$ , i.e.,  $\ell$  must be of the form

$$\ell = a \left( \mathbf{e}^1 + \mathbf{e}^2 + \mathbf{e}^3 \right) = a \begin{bmatrix} 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} \mathbf{e}^1 \\ \mathbf{e}^2 \\ \mathbf{e}^3 \end{bmatrix},$$

which is what we expected. This examples show once again the relations between equations and solutions as linear subspaces of vectors and linear forms.  $\blacktriangle$   $\blacktriangle$